Cellular Post-Conditioning: Allogeneic Cardiosphere-Derived Cells Reduce Infarct Size and Attenuate Microvascular Obstruction When Administered After Reperfusion in Pigs With Acute Myocardial Infarction

Kanazawa et al: Cellular Post-Conditioning of Allogeneic CDCs

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Abstract

Background—Intracoronary (IC) delivery of cardiosphere-derived cells (CDCs) has been demonstrated to be safe and effective in porcine and human chronic myocardial infarction (MI). However, IC delivery of CDCs after reperfusion in acute MI has never been assessed in a clinically-relevant large animal model. We tested CDCs as adjunctive therapy to reperfusion in a porcine model of MI.

Methods and Results—First, escalating doses (5, 7.5 and 10 million [M] cells) of allogeneic CDCs (allo-CDCs) were administered IC 30 minutes after reperfusion. Forty-eight hours later, left ventriculography (LVG) was performed and animals sacrificed to measure area at risk (AAR), infarct size (IS) and/or microvascular obstruction (MVO). Secondly, identical endpoints were measured in a pivotal study of mini-pigs (n=14) that received 8.5-9M allo-CDCs, placebo solution or sham. Multiple indicators of cardioprotection were observed with 7.5M and 10M allo-CDCs, but not 5M CDCs, relative to control. In the pivotal study, IS, MVO, cardiomyocyte apoptosis and adverse LV remodeling were all smaller in the CDC group than in sham or placebo groups. In addition, serum troponin I level at 24 hours was lower after CDC infusion than that in the placebo or sham groups, consistent with the histologically-demonstrated reduction in IS.

Conclusions—IC delivery of allo-CDCs is safe, feasible and effective in cardioprotection, reducing IS, preventing MVO and attenuating adverse acute remodeling. This novel cardioprotective effect, which we call “cellular postconditioning”, differs from previous strategies to reduce IS in that it works even when initiated with significant delay after reflow.

Key Words: allogeneic transplantation, cardiosphere-derived cells, cardioprotective effect, microvascular obstruction
Percutaneous coronary intervention (PCI) has become standard therapy worldwide for patients with acute myocardial infarction (AMI), not only reducing short-term adverse cardiac events but also improving long-term clinical outcome. Nevertheless, many AMI patients progress to HF even with best current therapy. Adverse left ventricular (LV) remodeling after AMI is a precursor to the development of overt HF and is an important predictor of mortality. Prominent among the factors that underlie adverse remodeling is microvascular obstruction (MVO) after reperfusion, a state of myocardial tissue hypoperfusion despite patent epicardial coronary arteries. Severe MVO may lead to “no-reflow”, which is an independent predictor of adverse clinical outcome after AMI.

Numerous strategies have been tested to reduce infarct size (IS), but, once reflow has occurred, nothing appears to work. All successful pharmacologic strategies must be applied prior to reperfusion. Ischemic postconditioning (created by cyclical intracoronary balloon inflations) requires immediate manipulation of flow at the time of reperfusion, with loss of benefit if there is any significant delay.

Cell therapy has the potential to revise the paradigm, but little is known about the utility and/or risks of intracoronary cell administration soon after (i.e., within 30 min of) reperfusion. No clinical data are available; most cell therapy clinical trials have infused cells 1-14 days post-AMI. By that time, cardiomyocytes at risk are already dead, so that there is limited potential (if any) for myocardial salvage. Given the delays intrinsic to autologous tissue harvesting and cell processing, applications in the acute reperfusion phase will require allogeneic (off-the-shelf donor-derived) products. Preclinical studies of acutely-administered allogeneic mesenchymal stem cells (MSCs) or their precursors have yielded variable
results. Although heart-derived stem cells have been tested in both large animals and humans in chronic ischemic settings,\textsuperscript{16, 17} the only studies in an acute ischemia/reperfusion model were in rats,\textsuperscript{18, 19} where structural and functional outcomes were improved dramatically by the intracoronary infusion of cardiosphere-derived cells (CDCs) 20 min post-AMI. However, the 3-week endpoint in those studies made it impossible to separate cardioprotection from regeneration.\textsuperscript{20}

We sought to determine whether early infusion of allogeneic CDCs during reperfusion leads to myocardial protection in a clinically-relevant large-animal model. To avoid possible ischemic postconditioning due to balloon inflations, and to mimic clinical reality, the initiation of therapy was delayed until 30 min post-reperfusion. We used a 48-hour endpoint in order to assess acute cardioprotection without the confounding effects of tissue regeneration, which may contribute to the final outcome of longer-term studies.\textsuperscript{20}

**Methods**

For detailed methods please see the Online Supplement. All animal studies were performed with approval from the Institutional Animal Care and Use Committee of the Cedars-Sinai Health System. Three separate experimental protocols were performed, as depicted schematically in Figure 1 and in Supplementary Figure 1A. A total of 15 Yucatan mini-pigs were studied in a dose-escalation study (Figure 1A); 14 completed the pivotal study (Figure 1B); and 6 pigs were dedicated to the measurement of cell engraftment (Supplementary Figure 1B). One Sinclair mini-pig (#0111) served as the donor for allogeneic heart-derived cells.
Cell culture

Allogeneic CDCs were grown from a freshly-explanted heart obtained from one male Sinclair mini-pig.

Myocardial infarct creation and CDC infusion

AMIs were created in adult Yucatan mini-pigs by inflation of an angioplasty balloon (TREK® OTW 2-3mm, Abbott Vascular, Santa Clara, CA) in the mid-left anterior descending artery (LAD) (distal to the 1st diagonal branch) for 1.5 h. Two studies were performed contemporaneously, involving a total of 39 minipigs: a dose-escalation study, and a pivotal study. Because of acute reperfusion-related mortality (n=4) or technical reasons detailed in the Results (n=6), 10 animals failed to complete the protocol.

Fifteen mini-pigs completed the dose-escalation study. Allogeneic CDCs (5, 7.5 and 10M cells; n=5 pigs per dose) were formulated, frozen and count-verified just prior to intracoronary infusion, which was performed 30 minutes post-reperfusion via an over-the-wire balloon catheter, placed in the mid-LAD. From the dose-escalation cell counts, post-thaw cell recoveries were 69.6 ± 1.5% and cell viability 90.7 ± 0.4% (n=7). The CDCs were administered in 3 equally-divided cycles of balloon inflation separated by 3 min of deflation (Figure 1A).¹⁶

Fourteen mini-pigs completed the pivotal study and were randomized to receive CDCs (n=4, with the cell infusion procedure as in the dose-escalation study), placebo (n=5) or sham (n=5; balloon placement in the LAD but without inflations, to exclude possible confounding effects related to ischemic post-conditioning). The latter two groups, which had
similar distributions and medians, were statistically indistinguishable ($p=0.11$ to 0.59 in all of histological and functional indicators) and thus were pooled as controls for the dosing study. The pivotal study was designed to mimic a clinical situation in which preformulated bags of CDCs containing a nominal dose of 12.5M CDCs were frozen and thawed for administration, without cell counting prior to infusion; based on the post-thaw cell recovery data in the dose-escalation study, actual delivered total cell dosage was estimated at ~8.7 M.

**Left ventriculography**

To measure global LV function and volumes, left ventriculography (LVG) was performed before infusion and prior to sacrifice on day 2 using a pigtail catheter inserted retrogradely into the LV, with imaging in the 40° left anterior oblique projection (30 frames per second) using non-ionic contrast (27~30 ml/3 sec). Left ventricular volumes were indexed to body surface area (BSA), calculated as per the formula (BSA= 0.121 * BW kg $^0.575$).21

**Safety evaluation (coronary flow and arrhythmia)**

Coronary flow was evaluated utilizing Thrombolysis In Myocardial Infarction (TIMI) and corrected TIMI frame count grading systems. ECGs were recorded before induction of AMI, before CDC/vehicle infusion, immediately after CDC/vehicle infusion, 1 hour after CDC/vehicle infusion (only in pivotal study) and prior to sacrifice to monitor for arrhythmias. In addition, ECGs were continuously monitored during intracoronary infusion and 1 hour post-intervention for arrhythmias.
**Histopathological evaluation**

Two days after CDC or vehicle infusion, mini-pigs underwent median sternotomy and direct injection into the left atrium of dyes (Gentian Violet and Thioflavin T) to assess area at risk (AAR) and MVO. Then, mini-pigs were euthanized and the hearts explanted and sectioned into 1 cm thick short-axis slices to measure AAR, infarct size (IS) and MVO. Each slice was imaged digitally; IS and MVO areas were determined by manual tracing by a researcher blinded to treatment allocation. The fraction of the AAR within the total area was equated with its weight percent of the total weight of LV. MVO and IS were expressed as the weight percent of AAR.

**Hemorrhage in the heart** was investigated by hematoxylin and eosin staining of myocardial samples (fixed in 10% formalin, paraffin-embedded) obtained from the infarct, border and remote myocardium; analysis was performed by an experienced cardiac pathologist (DL) blinded to treatment allocation with an arbitrary scoring system (graded as none, mild, moderate or severe utilizing 0, 1, 2 and 3, respectively). To measure apoptotic cardiomyocytes, 8 μm sections from myocardial samples (fixed in 10% formalin, paraffin-embedded) obtained from the infarct, border and remote zones underwent immunostaining for TUNEL (Roche 12156792910), α-sarcomeric actinin (ab9465 Abcam) and wheat-germ agglutinin (Invitrogen) (to visualize cell borders). Vascular density was quantified in pigs sacrificed 48 hours post reperfusion. A total of 3 to 5 sections obtained from the border zones were evaluated per heart with immunostaining for Isolectin (Invitrogen I21411) and α-smooth muscle actin (ab5694 abcam). Alexa Fluor-conjugated secondary antibodies (Molecular Probes) were used and counterstained for DAPI (Molecular probes). Sections
were imaged using a confocal laser scan microscope (Leica Microsystems) and images were processed by Leica Application Suite software. The border zone was defined as the region at the edges of the scar (comprising areas of both viable and scarred myocardium).

**Blood examination**

In the pivotal study, blood draws were performed 24 hours after CDC or vehicle infusion and prior to sacrifice (48 hours) to measure serum troponin I (TnI) as a marker of cardiac injury.

**Engraftment study**

Engraftment was measured (see Supplemental Figure 1A) as described. Luciferase-labeled CDCs were delivered via intracoronary infusion (n=6) 15 minutes post-reperfusion. Animals were sacrificed 15 minutes (n=3) or 48 hours (n=3) later and tissues were taken for luciferase measurement.

**Statistical Analysis**

Pooled data are expressed as box plots. Mann-Whitney U test was used for comparisons between 2 independent groups. Multiple groups were compared using Kruskal-Wallis test with Bonferroni post-hoc testing. Dynamite plot data are expressed as mean ± standard error of the mean. $p < 0.05$ was considered statistically significant.
Results

Adverse events and mortality

In the dose-escalation study (Supplementary Table 1), 1 animal out of the 18 (6%) died due to cardiogenic shock immediately after LVG post-reperfusion. Two pigs were excluded due to technical failure or incomplete dye study. There was no further mortality (0%), nor were any adverse events noted during the infusion procedure in either group. In the pivotal study, 3/21 animals (14%) died during creation of MI due to ventricular arrhythmia; 3 pigs were excluded due to technical failure of MI creation or incomplete dye study. One pig was excluded due to incidentally-discovered hypertrophic cardiomyopathy at necropsy. Thus, a total of 14 pigs completed the pivotal study. There was no further mortality within the protocol (0%), nor were there any other adverse events (Supplementary Table 2).

Dose-Escalation Study

Functional and histological benefits of CDC infusion with optimal dose

To assess differences of treatment effects with escalating doses of allogeneic CDCs, we measured cardiac function and volumes by LVG. Representative ventriculograms 48 hours post-CDC treatment show amelioration of LV anterior wall motion compared with control, especially in the 7.5M pig (yellow arrows, Fig. 2A). Although the changes in the ejection fraction from baseline to 48 hours post CDC infusion were not significantly different among groups (Fig. 2B), mini-pigs in control and 5M groups underwent progressive LV dilatation (both at end-diastole [Fig. 2C] and end-systole [Fig. 2D]); the change in LVEDVI was significantly attenuated in the pigs that received 7.5M (Fig. 2C). In addition, we evaluated
AAR, IS and MVO by dye study 48 hrs post-infusion. Although AAR in the 4 groups was comparable, IS and MVO (as a % of AAR) were smaller (7.5M) or strongly tended to be smaller (10M) than in the control or 5M groups (Fig. 2 E, F and G).

**Pathological assessment and cytoprotective effect of CDCs with optimal dosage**

The extent of intramyocardial hemorrhage was indistinguishable in all groups (Supplementary Fig.2A, B). In contrast, CDC infusion blunted apoptosis as revealed by TUNEL staining (Fig 3A). The number of TUNEL-positive cardiomyocytes in the border zone was lower in all CDC groups than in control (p=0.002; Fig. 3B, left panel), a pattern repeated, albeit with less significance (p=0.052), in the ischemic zone (Fig 3B, right panel).

As for coronary flow evaluation, absolute corrected TIMI frame counts (cTFC) post-infusion were different in the 4 groups (p<0.05, Supplementary Table 1), with significant pairwise differences between control and 10M, but no differences among control, 5M or 7.5M groups (Supplementary Fig.3).

Overall, although there is a tendency for 10M to be worse than 7.5M, both 7.5M and 10M appear to be better than control or 5M in terms of functional, histological and safety concern. We conclude that 7.5-10M is the optimal therapeutic dosage range.

**Pivotal Study**

Having established a target dosage range of 7.5-10M CDCs, we performed a pivotal preclinical study with animals randomized to placebo, sham or CDC groups. The CDC group mimicked the clinical situation in which a preformulated bag is acutely thawed for infusion at
the site of reperfusion. It is clinically-unrealistic to perform cell counting prior to product release in the AMI setting, so that infusion bags filled with 12.5M CDCs were used, without onsite cell counting and recognizing that some of the cell product would be retained in the dead space of the bags and tubing. From the cell count findings in the dose-escalation study, actual delivered cell dosage was estimated at ~8.7M, well within the desired therapeutic range of 7.5-10M.

**Histological efficacy of intracoronary infusion of CDCs as adjunctive therapy to reperfusion**

To assess the acute benefit of allogeneic CDCs, we evaluated histological efficacy of CDCs by dye study at 48 hours. Fig. 4A shows representative slices stained with gentian violet to delineate AAR, and TTC (2,3,5-triphenyltetrazolium chloride) to quantify IS. The infarcted zone (white/yellow) within the AAR (brick-red) is visibly smaller than that of sham or placebo. The AAR/LV (as a % of the LV) was similar among all three groups, indicating comparable degrees of initial injury (Fig. 4B). IS (as a % of AAR) was smaller in the CDC group (59.7%, p<0.05 vs sham and placebo) compared with sham (81.0%) or placebo (80.3%; Fig. 4C). In addition, IS (as a % of the LV) was also smaller in the CDC group (12.5%, p<0.05 vs sham and placebo) than in sham (19.9%) or placebo (18.5%) groups (Fig. 4D).

We also evaluated MVO in the pivotal study. Fig. 4E shows ultraviolet epifluorescent images of representative slices stained with thioflavin T; here, the MVO area appears dark as it has not taken up the infused thioflavin and thus is non-fluorescent. MVO area is distinctly
smaller in the CDC-treated pig than in sham or placebo, as verified by the pooled data in Fig. 4F.

**Functional efficacy in the acute phase of intracoronary infusion of allogeneic CDCs**

We assessed the acute treatment effects of allogeneic CDCs on LV function and volumes by LVG. Although the change of ejection fraction (Fig. 5A) between baseline and 48 hours post-intervention was similar in all groups, mini-pigs in sham and placebo groups underwent progressive LV dilatation (Fig. 5B,C), which was attenuated in CDC-treated pigs.

**Safety evaluation of intracoronary infusion of CDCs**

Consistent with the structural evidence of reduced myocardial injury (Fig. 4), CDC treatment decreased serum troponin I level measured 24 hours post-MI (Fig. 5D). These data support the conclusion that CDCs exert a cardioprotective effect, even when cell administration is delayed to 30 min after reperfusion.

**Pathological assessment and cytoprotective effect in pivotal study**

The extent of intramyocardial hemorrhage was comparable in the three experimental groups (Supplementary Fig. 4A, B). We also quantified apoptosis in the three groups by staining border and infarct zone samples for TUNEL (Fig 6A). Consistent with the results of the dose escalation study, the percentages of TUNEL-positive myocytes in the border (49.5%) was lower in CDC-treated hearts than in sham (68.8%) or placebo (64.3%) (Fig 6B, upper panel). Here we additionally see unequivocal benefit in the infarct zone (Fig 6B, lower panel).
Measurement of CDC engraftment by luciferase

Cardiac engraftment of CDCs decreased from 39.9±9.6% (n=3) at 15 minutes to 3.7±1.6% (n=3) at 48 hours. There was a significant difference in engraftment at the two time points (Supplementary Figure 1B).

Assessment of vascularization

We analyzed vessel density in border zone samples by immunostaining in dose-escalation and pivotal studies (Supplementary Fig 5A, B). Quantification of vessel density did not reveal any significant differences among groups.

Discussion

Early and successful myocardial reperfusion with PCI is the most effective strategy for AMI. However, reperfusion itself has the potential to aggravate injury, which may in part explain why the incidence of HF after AMI approaches 25%. Here we have demonstrated a novel benefit of infused CDCs administered 30 min after reperfusion: such treatment decreased IS and MVO in pigs with AMI. Our findings are notable for two reasons: first, CDCs work despite having been administered relatively late after reperfusion. No other cardioprotective modality has successfully reduced IS without pretreatment (ischemic or pharmacologic preconditioning) and/or immediate intervention upon reopening the affected artery (ischemic postconditioning). Secondly, our study design, with 48-hour structural and functional endpoints, guarantees that we are studying acute cardioprotection; otherwise it is impossible to exclude a partial or dominant contribution from longer-term regenerative
effects of the cells, which are evident only weeks after treatment. Given the novelty of the phenomenon described here, we call it “cellular postconditioning”.

The influence of post-conditioning on reperfusion injury

Ischemic “post-conditioning”, described by Zhao et al., is a cardioprotective phenomenon which can be recruited by applying intermittent cycles of ischemia immediately after reperfusion. There is some inconsistency in the literature as to how long a delay after reperfusion can be imposed while retaining the benefits of ischemic postconditioning, with most studies claiming rapid evanescence (<10 min), but one showing that delays of up to 30 min may be possible. To see whether ischemic postconditioning might have influenced our own results, we compared the placebo group (where three cycles of stop-flow ischemia were applied without cell infusion) to the sham group, which simply had a catheter placed in the LAD without intermittent balloon inflations. Results in the two groups were indistinguishable, ruling out a contributory role for ischemic postconditioning in our protocol. Thus, the cardioprotective effects of CDCs infused at 30 min are distinct from those of ischemic postconditioning. Not only is the trigger different (cells vs ischemia), but also the window of treatment opportunity is longer. Such a delay is consistent with clinical reality: when a patient presents with AMI, the immediate focus is on prompt recanalization of the occluded vessel. Only after patency has been re-established and a stent deployed will the typical clinician consider adjunctive therapy; the allowance of 30 min to initiate infusion accommodates decision to treat, product thawing and technical preparation for administration.
The feasibility and safety of intracoronary infusion of CDCs in AMI

In addition to the considerations above, translation will be facilitated by the fact that we used standard clinical equipment and intracoronary infusion for cell transplantation. Intracoronary infusion can be performed in a minimally-invasive manner, and has been safely used in numerous preclinical studies and clinical trials. Some previous large-animal studies have questioned the safety of intracoronary infusion of stem cells post-MI, with decreased coronary flow and elevation of cardiac enzymes attributed to microvascular plugging. Houtgraaf et al. had more favorable results after careful attention to cell dosage, size and infusion rate. In terms of cell dosage, we have validated the safety of 12.5M intracoronary CDCs in pigs with chronic ischemic cardiomyopathy, and 25M CDCs administered to post-MI patients in the CADUCEUS trial, without complications such as microembolization. Cell dosage must be carefully validated in the acute phase of reperfusion post-MI, given the presence of infarct-related microvascular injury. As for cell size, although it is reported that the average diameter of mesenchymal stem cells (MSC) is 30-50 μm, CDCs are ~20μm in diameter. In addition, previous studies demonstrated micro-infarction or slow-flow phenomena at high infusion rates and/or high cell doses (e.g., 50M cells at a rate of 1.5M cells/min). On the other hand, Houtgraaf et al. found that slow infusion of mesenchymal progenitor cells (MPCs; 0.5M cells/min) enabled intracoronary infusion of 50M cells without compromise of coronary flow. Here, we infused relatively low cell numbers at rates of 0.8-1.6M/min following reperfusion; 7.5M-10M CDCs at rates of 1.25M-1.6M cells/min were well-tolerated and therapeutically active, in general agreement with Houtgraaf et al. Our studies differ in that we delayed cell
infusion until 30 min after reflow (Houtgraaf et al.\textsuperscript{15} began infusion at 15 min of reflow) and in the timing of IS quantification: we focused on 48-hour endpoints, while Houtgraaf et al.\textsuperscript{15} quantified IS only at 8 weeks, at which time longer-term regenerative effects may cloud the evaluation of cardioprotection.\textsuperscript{20}

\textbf{Potential mechanism of benefit in CDC-treated hearts}

In the vast majority of experimental studies, the number of differentiated myocytes derived from transplanted stem cells is too small to account for the observed improvements in cardiac function.\textsuperscript{34} Thus, the prevailing concept of stem cell efficacy has shifted towards the ‘paracrine hypothesis’, according to which the transplanted cells are proposed to produce soluble factors that are beneficial to the infarcted heart.\textsuperscript{35} Indeed, skeletal myoblasts,\textsuperscript{36} bone-marrow (BM) derived cells\textsuperscript{37} and cardiac-derived cells\textsuperscript{38} produce and secrete a broad variety of cytokines. In small comparative studies, CDCs outperformed MSCs \textit{in vitro}\textsuperscript{39} and \textit{in vivo}.\textsuperscript{40} In a head-to-head comparison of four different cell types (CDCs, BM-derived mononuclear cells, BM-derived MSCs and adipose-derived MSCs) in the same animal model in the same laboratory, CDCs emerged as superior in terms of paracrine factor secretion, angiogenesis, cardiomyogenic differentiation, ischemic tissue preservation, anti-remodeling effects and functional benefit post-MI.\textsuperscript{41}

Potential cardioprotective effects of paracrine factors include anti-apoptotic effects on resident myocytes, upregulation of angiogenesis, modulation of inflammatory processes resulting in better infarct healing, improvements of cardiac metabolism and contractility, promotion of cardiomyocyte cell cycle re-entry, and induction of secondary humoral effects
in the host tissue. Here, we observed histological benefits including reduction of IS, MVO and apoptotic cardiomyocytes only 48 hours post cell transplantation, which is consistent with paracrine effects but not differentiation of transplanted cells into cardiomyocytes or vessels, as demonstrated in Supplementary Figures 1 and 5. Beyond these considerations, however, the present study provides no insight into the detailed mechanisms of benefit of cellular postconditioning.

Limitations

This study has several limitations. First, this model is one of iatrogenic AMI caused by balloon occlusion. The pathophysiological situation differs from acute coronary syndrome with regard to influence of micro-embolization derived from atherosclerotic plaque or thrombus. Also, the well-defined duration of ischemia here differs from the clinical situation, which is much more heterogeneous. Second, we infused allogeneic CDCs in this study, but did not look for a potential immune response given the short-term nature of the endpoints. However, allogeneic heart-derived cells have been transplanted without eliciting deleterious immune reactions in previous reports. Although “off-the-shelf” allogeneic products are clearly necessary for the infusion of cells immediately after PCI, we need to test the safety concerns of allogeneic cells in longer-term experiments.

Conclusions

We demonstrate a cardioprotective effect of CDCs after reperfusion utilizing a large animal model and strategies that are compatible with standard clinical practice. These results
motivate not only potential clinical exploration of acutely-administered CDCs in AMI, but also the further exploration of long-term efficacy and mechanism of benefit.

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Disclosures

EM and LM own equity in Capricor, Inc. LM and MK are employed by Capricor. KM is a consultant for Capricor, Inc. Other authors have no relationships with industry.
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Figure Legends

Figure 1. Study protocols. Dose-escalation study (A) and pivotal study (B). CDCs: cardiosphere-derived cells, LVG: left ventriculography, AMI: acute myocardial infarction, TnI: troponin I.

Figure 2. Comparison of different doses of CDCs for assessment of functional and histological efficacy. Representative left ventriculograms at each dose of CDCs (A). Arrow indicates preserved wall motion in the border zone 48 hours post-intervention. Yellow lines indicate a contour of end-diastolic and -systolic left ventricular endocardial margins. Pooled change in LVEF (B), LVEDVI (C) and LVESVI (D) between baseline and two days post CDCs infusion with escalating dosage. Comparison of area at risk (E), infarct size (F), and MVO (as % of AAR) 48 hours post-infusion. LVEDVI: left ventricular end-diastolic volume index; LVESVI: left ventricular end-systolic volume index. p value is the result of Kruskal-Wallis test., *: p<0.05 and **: p<0.01 between two groups.

Figure 3. Optimized doses of CDCs attenuate apoptosis. Comparison of apoptosis of cardiomyocytes assessed by TUNEL staining (A) and quantitative evaluation of TUNEL-positive cell number in border and infarct zones (B). WGA: wheat-germ agglutinin; a-SA; a-sarcomeric actinin. p value means the result of Kruskal-Wallis test., *: p<0.05 between two groups.
Figure 4. Allogeneic CDCs attenuate infarct and no-reflow size. Representative transverse cardiac slices stained with Gentian violet and TTC (A). Area at risk (as % of LV) (B), infarct size (as % of area at risk) (C) and infarct size (as % of LV) (D) at 48 hours post-intervention. Representative transverse cardiac slices stained with Thioflavin-T under ultraviolet light (E). No-reflow area (as % of area at risk) 48 hours post-intervention (F).

AAR: area at risk; IS: infarct size; TTC: 2,3,5-triphenyl tetrazolium chloride; NR: no-reflow.

p value is the result of Kruskal-Wallis test, *: p<0.05 between two groups.

Figure 5. Functional efficacy and safety assessment after intracoronary infusion of allogeneic CDCs. Comparison of changes in LV ejection fraction (A), end-diastolic volume index (B) and end-systolic volume index (C) in sham, placebo and CDCs groups. D: Serum troponin I levels at 24 and 48 hrs post-intervention LVEF: left ventricular ejection fraction; LVEDVI: left ventricular end-diastolic volume index; LVESVI: left ventricular end-systolic volume index. p value is the result of Kruskal-Wallis test (0.03 at 24 hrs and 0.24 at 48 hrs).

*: p<0.05 between two groups.

Figure 6. Allogeneic CDCs decreased apoptosis of cardiomyocytes assessed by TUNEL staining. Comparison of apoptosis of cardiomyocytes assessed by TUNEL staining (A) and quantitative evaluation of TUNEL-positive cell number in border and infarct zones (B).

WGA: wheat-germ agglutinin; α-SA: α-sarcomeric actinin. p value is the result of Kruskal-Wallis test, *: p<0.05 between two groups.
Figure 1.

A  **Dose-escalation study**

5M-, 7.5M- and 10M-CDCs infusion (i.c.)

Sacrifice Day 2

LVG Pathology Dye study

**Intracoronary cell delivery**

B  **Pivotal study**

Sham or CDCs/ Placebo infusion (i.c.)

Sacrifice Day 2

LVG Pathology Dye study
Figure 2.

A

B

\[ \Delta LVEF \quad p=0.45 \]

E

\[ AAR/LV \quad p=0.42 \]

C

\[ \Delta LVEDVI \quad p=0.029 \]

F

\[ IS/AAR \quad p=0.006 \]

D

\[ \Delta LVESVI \quad p=0.10 \]

G

\[ MVO/AAR \quad p=0.029 \]
Figure 3.

A

Border zone

Infarct zone

B

Circulation
Heart Failure

TUNEL+ myocytes (%/total nuclei)

Border zone

Infarct zone
Figure 5.

A

\[ \Delta \text{LVEF} \]

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B

\[ \Delta \text{LVEDVI} \]

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<th>(ml/m²)</th>
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C

\[ \Delta \text{LVESVI} \]

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<th>(ml/m²)</th>
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<tbody>
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D

Serum troponin-I level

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\[ p=0.03 \]
\[ p=0.24 \]
Figure 6.

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<td>CDCs</td>
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B  

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* p=0.014

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p=0.17
Cellular Post-Conditioning: Allogeneic Cardiosphere-Derived Cells Reduce Infarct Size and Attenuate Microvascular Obstruction When Administered After Reperfusion in Pigs With Acute Myocardial Infarction


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SUPPLEMENTAL MATERIAL

SUPPLEMENTAL METHODS

Culture of porcine CDCs

We obtained allogeneic CDCs from a Sinclair mini-pig (#0111) heart from Sinclair Bio Resources for the dose-escalation study, and the pivotal study to create a master cell bank (MCB). The heart arrived on ice submerged in cardioplegic solution. Hearts were grossly dissected and biopsy-sized pieces (~25mg) were seeded to create explant derived cells (EDCs). After ~14 days, the EDCs were harvested to create a MCB. MCB vials were thawed and cultured as cardiospheres (CSps) in suspension culture. CSps were grown on Ultra Low® Cell STACK® vessels (Corning Life Sciences). Allogeneic CDCs were grown by seeding CSps on Nunc Triple Flasks (Thermo Scientific), and passaging when confluent. Allogeneic CDCs were resuspended (1.25M/ mL for a total dose of 12.5M) in CryoStor™CS10 (BioLife Solutions) in cryobags (PL07 PermaLife Bags, OriGen Biomedical Inc), placed directly in a CryoMed controlled-rate freezer, and then transferred to liquid nitrogen. CDCs were thawed at the day of the infusion. Upon thawing, 1 mL of heparin (100 USP units/ mL) and 0.1 mL nitroglycerin (50 µg/ mL) were added as diluents for a total 10 mL dose for administration. Cell dose preparation was performed by Capricor, Inc.

MI creation and CDC infusion

On day 0, animals were premedicated with ketamine 20mg/ kg IM, atropine 0.05mg/ kg IM, and acepromazine 0.25mg/ kg IM. Animals were subsequently induced with propofol 2-4mg/
kg IV to effect, intubated, and maintained on isoflurane 2-3%. Amiodarone 10mg/ kg IV loading dose, then 0.5mg/ kg IV as needed and lidocaine 0.03μg/ kg/min were given for ventricular arrhythmias. Heparin 100IU/ kg IV was given for anticoagulation. Mini-pigs were subjected to an anteroseptal MI by inflation of an angioplasty balloon in the mid-left anterior descending artery (LAD) (distal to the 1st diagonal branch) for 1.5 h., followed by coronary reperfusion.

For the dose-escalation study, total 15 mini-pigs received escalating doses (exact cell number of 5, 7.5 and 10M cells) of allogeneic CDCs to find optimal dosage in terms of the safety and efficacy. For pivotal study, intracoronary infusion of allogeneic CDCs in thawed bags (estimated the cell number was approximately 8M due to cell death by freeze and thawing process) was performed thirty minutes post-MI using a standard clinical over-the-wire angioplasty balloon (TREK®, Abbott Vascular) in 3 boluses of 3.3 mL with intermediate washes of 2 mL between each bolus to ensure complete cell delivery.¹

**Dye infusion procedure and evaluation**

Two days after AMI and infusion of CDCs/ vehicle, pigs underwent induction of anesthesia and median sternotomy. An angioplasty balloon was placed at the treated lesion created during the MI procedure. With the balloon deflated, mini-pigs were infused with thioflavin T (50mls, 2% solution diluted with PBS) by direct injection into the left atrium over 60 seconds. After infusion of Thioflavin T was completed, the angioplasty balloon was inflated and mini-pigs were infused with Gentian violet (50mls, 1.6% solution diluted with 40mls PBS and 10mls ethanol) by direct injection into the left atrium over 60 seconds. Gentian violet stains the non-ischemic zone blue; the region of the heart that does that is not perfused by Gentian violet (i.e. does not stain blue)
represents the ischemic area (area at risk). Finally, mini-pigs were sacrificed and the heart was explanted and sectioned into 1 cm thick short-axis slices.

Thioflavin T is a fluorescent dye (visualized under UV light), which stains endothelium receiving blood flow. Areas of microvascular obstruction (areas of “no-reflow”) are not stained and appear as non-fluorescent area within the infarct zone. Then transverse ventricular slices were incubated with 2% TTC (2,3,5-triphenyl tetrazolium chloride) for 20 min at 37°C to stain viable myocardium. TTC stains viable myocardium brick red, while the infarct appears as non-TTC stained tissue (white/yellow).

**TIMI and cTIMI frame count grading system**

Coronary flow of the LAD was evaluated pre and post cell infusion according to 4 grades of flow, as previously described.\(^2\,^3\) The corrected TIMI frame count (cTFC) is also an index of coronary blood flow that is easily obtained by counting the number of cine frames required for dye to travel from the ostium to a standardized distal landmark. The cTFC is a simple, reproducible, objective, and quantitative index of coronary flow that allows standardization of TIMI flow grades.\(^4\) In addition, it is reported that the cTFC is related to the early risk of adverse outcomes in acute coronary syndromes.\(^5\)

**Ex vivo engraftment study**

CDCs were transduced with an adenoviral vector carrying the firefly luciferase gene three days prior to injection. For each animal, a separate standard curve was constructed using the specific transduced cell preparation infused into that animal. All pigs were infused with 7.5
million luciferase-labeled CDCs 30 minutes after reperfusion. Then, 15 minutes (n=3) or 48 hours (n=3) after cell delivery, the infused regions of the LV and RV were homogenized with 10% fetal bovine serum and analyzed for luminescence (Supplemental Figure 1A). Animal-specific standard curves were prepared by measuring the luminescence from known numbers of transduced cells, with conversion to a cell number by reference to the respective standard curve. Engraftment was expressed as a percentage of the number of cells originally infused (Supplemental Figure 1B).
**Supplementary Table 1.**

**Dose-escalation study**

<table>
<thead>
<tr>
<th>Coronary flow evaluation</th>
<th>Control</th>
<th>5M</th>
<th>7.5M</th>
<th>10M</th>
<th>Kruskal-Wallis test</th>
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<tbody>
<tr>
<td>TIMI pre-infusion, n (%)</td>
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<td></td>
<td></td>
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<td>0 (0)</td>
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<tr>
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<td>9 (90)</td>
<td>4 (80)</td>
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<td>cTFC (mean)※</td>
<td>21.42</td>
<td>25.46</td>
<td>28.5</td>
<td>28.42</td>
<td>p=0.32</td>
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<td>TIMI post-infusion, n (%)</td>
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<td>5 (100)</td>
<td>2 (50)</td>
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※ cTFC; corrected TIMI frame count
**Pivotal study**

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<th>CDCs</th>
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※cTFC; corrected TIMI frame count
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<th>EF delta</th>
<th>EDV Day 0</th>
<th>EDV Day 2</th>
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<td>10.0</td>
<td>3.7</td>
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Supplementary Figure 1.

A

**Engraftment**

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<tr>
<th>Time</th>
<th>Luciferase-labeled CDCs infusion (i.c.)</th>
<th>Sacrifice 15min.</th>
<th>Luciferase assay (n=3)</th>
<th>Sacrifice 48hrs</th>
</tr>
</thead>
<tbody>
<tr>
<td>90 min. occlusion</td>
<td>AMI</td>
<td>Reperfusion</td>
<td>15 min.</td>
<td>30 min.</td>
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</table>

B

<table>
<thead>
<tr>
<th>Time</th>
<th>Engraftment (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>15min. (n=3)</td>
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</tr>
<tr>
<td>48hrs (n=3)</td>
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</tr>
</tbody>
</table>

* p < 0.05
Supplementary Figure 2.

(A) Images of Border Zone and Infarct Zone for control, 5M, 7.5M, and 10M conditions. Scale bar: 100μm.

(B) Bar graphs showing the activity units (AU) for Border Zone and Infarct Zone across control, 5M, 7.5M, and 10M conditions. Statistical significance marked with * for p = 0.039 and p = 0.61.
Supplementary Figure 3.

The figure shows the cTIMI frame count with a * indicating a statistically significant difference ($p=0.035$). The cTIMI frame count is given in frames, and the treatments include Control, 5M, 7.5M, and 10M. The error bars represent the standard error of the mean.
Supplementary Figure 4.

A

Border zone | Infarct zone | Remote zone
---|---|---
Sham | | |
Placebo | | |
CDCs | | |

100μm

B

(AU)

<table>
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<tr>
<th>Border zone</th>
<th>(AU)</th>
<th>Infarct zone</th>
</tr>
</thead>
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<tr>
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$p=0.23$

$p=0.38$
Supplementary Figure 5.

A

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<td>placebo</td>
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B

Border zone

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<th>CDCs</th>
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Infarct zone

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<tbody>
<tr>
<td>p-value</td>
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</tbody>
</table>

(sma+/isolectin+/field)
Supplementary Table 1. Evaluation of coronary flow pre- and post- intervention by TIMI grading system in dose-escalation study.

Supplementary Table 2. Evaluation of coronary flow pre- and post- intervention by TIMI grading system in pivotal study. CDCs: cardiosphere-derived cells; TIMI: Thrombolysis in Myocardial Infarction; cTFC: corrected TIMI frame count. All groups were compared with Kruskal-Wallis test.

Supplementary Table 3. Change differences (delta values) according time points for each individual animal. BSA: body surface index; LVEF: left ventricular ejection fraction; LVEDVI: left ventricular end-diastolic volume index; LVESVI: left ventricular end-systolic volume index.

Supplementary Fig 1. Engraftment study.
Schematic of protocol to quantify short-term CDC engraftment (A). Engraftment of infused CDCs 15 minutes and 48 hours after CDC delivery (B). CDCs: cardiosphere-derived cells, AMI: acute myocardial infarction. Values are means ±SEM (standard error of the mean), *; p<0.05 between two groups.

Supplementary Fig 2. Hemorrhage evaluation in dose-escalation study.
Representative figures of hemorrhage evaluated by H-E staining at different doses of CDCs (A) and quantitative evaluation utilizing a hemorrhage scoring system (B). p value means the
result of Kruskal-Wallis test. *; p<0.05 between two groups. AU; arbitrary unit.

**Supplementary Fig 3. Corrected TIMI frame count post cell infusion in dose escalation study.**

cTFC: corrected TIMI frame count. *; p<0.05

**Supplementary Fig 4. Hemorrhage evaluation in pivotal study.**

Representative figures of hemorrhage evaluated by H-E staining in all groups (A) and quantitative evaluation utilizing a hemorrhage scoring system (B). p value means the result of Kruskal-Wallis test. AU; arbitrary unit.

**Supplementary Fig 5. Immunohistological assessment of vessel density in acute settings.**

Representative images of vessel density in the border zone assessed by immunostaining for isolectin and α-smooth muscle actin at 48 hours after reperfusion in dose-escalation and pivotal study (A). Quantitative analysis of vessel density in border zone (B). p value means the result of Kruskal-Wallis test.

**REFERENCES**


